

Corporate Profile

Directors and Audit & Supervisory Board Members

Directors



Chairperson of the Board and Representative Director

1 Tetsuji Ohashi

- ▶ Number of Year (s) in Office: 11 years
- ▶ Attendance to the Meetings of the Board of Directors: 15/15 (100%)
- ▶ Number of Shares of the Company Held: 151 thousand shares
- ▶ Important Concurrent Positions Held in Other Organizations: Outside Director of Yamaha Motor Co., Ltd.
- ▶ Special Interests Between the Candidate and the Company: None

4/1977 Joined the Company
4/2019 Took office as Chairperson of the Board and Representative Director (current)



President and Representative Director, and CEO

2 Hiroyuki Ogawa

- ▶ Number of Year (s) in Office: 2 years
- ▶ Attendance to the Meetings of the Board of Directors: 15/15 (100%)
- ▶ Number of Shares of the Company Held: 55 thousand shares
- ▶ Important Concurrent Positions Held in Other Organizations: None
- ▶ Special Interests Between the Candidate and the Company: None

4/1985 Joined the Company
4/2019 Took office as President and Representative Director (current) CEO (current)



Director and Senior Executive Officer

3 Masayuki Moriyama

President of Mining Business Division

- ▶ Number of Year (s) in Office: 1 year
- ▶ Attendance to the Meetings of the Board of Directors: 11/11 (100%)
- ▶ Number of Shares of the Company Held: 52 thousand shares
- ▶ Important Concurrent Positions Held in Other Organizations: None
- ▶ Special Interests Between the Candidate and the Company: None

4/1982 Joined the Company
6/2019 Took office as Director and Senior Executive Officer (current)



Director and Senior Executive Officer

4 Kiyoshi Mizuhara

President of Construction Equipment Marketing Division

- ▶ Number of Year (s) in Office: 1 year
- ▶ Attendance to the Meetings of the Board of Directors: 11/11 (100%)
- ▶ Number of Shares of the Company Held: 35 thousand shares
- ▶ Important Concurrent Positions Held in Other Organizations: None
- ▶ Special Interests Between the Candidate and the Company: None

4/1983 Joined the Company
6/2019 Took office as Director and Senior Executive Officer (current)

Audit & Supervisory Board Members



Standing Audit & Supervisory Board Member

1 Hironobu Matsuo

- ▶ Number of Shares of the Company Held: 22 thousand shares
- ▶ Important Concurrent Positions Held in Other Organizations: None
- ▶ Special Interests Between the Candidate and the Company: None

4/1982 Joined the Company
6/2017 Took office as Standing Audit & Supervisory Board Member (current)



Standing Audit & Supervisory Board Member

2 Terumi Sasaki

NEW

- ▶ Number of Shares of the Company Held: 21 thousand shares
- ▶ Important Concurrent Positions Held in Other Organizations: None
- ▶ Special Interests Between the Candidate and the Company: None

4/1983 Joined the Company
6/2020 Took office as Standing Audit & Supervisory Board Member (current)



Director and Senior Executive Officer

5 Kuniko Urano

Supervising Safety & Health Care, Corporate Communications, and CSR

- ▶ Number of Year (s) in Office: 2 years
- ▶ Attendance to the Meetings of the Board of Directors: 15/15 (100%)
- ▶ Number of Shares of the Company Held: 34 thousand shares
- ▶ Important Concurrent Positions Held in Other Organizations: None
- ▶ Special Interests Between the Candidate and the Company: None

4/1979 Joined the Company
6/2018 Took office as Director and Senior Executive Officer (current)



Outside Director

6 Makoto Kigawa

- ▶ Number of Year (s) in Office: 4 years
- ▶ Attendance to the Meetings of the Board of Directors: 15/15 (100%)
- ▶ Number of Shares of the Company Held: None
- ▶ Important Concurrent Positions Held in Other Organizations: Special Advisor of Yamato Holdings Co., Ltd. Outside Director of Seven Bank, Ltd. Outside Director of Oki Electric Industry Co., Ltd. Outside Audit & Supervisory Board Member of The Higo Bank, Ltd.
- ▶ Special Interests Between the Candidate and the Company: None

4/1973 Joined The Fuji Bank, Limited (currently Mizuho Bank, Ltd.)
4/2004 Took office as Managing Director, Chief Risk Officer / Head of Risk Management Group, and Chief Human Resources Officer / Head of Human Resources Group of Mizuho Corporate Bank, Ltd. (currently Mizuho Bank, Ltd.)
3/2005 Retired from Mizuho Corporate Bank, Ltd.
4/2005 Joined Yamato Transport Co., Ltd. (currently Yamato Holdings Co., Ltd.)
6/2005 Took office as Managing Director of Yamato Transport Co., Ltd. (currently Yamato Holdings Co., Ltd.)
11/2005 Took office as Representative Managing Director of Yamato Holdings Co., Ltd.
4/2006 Took office as Representative Director and Managing Executive Officer of Yamato Holdings Co., Ltd.
6/2006 Took office as Representative Director and Senior Managing Executive Officer of Yamato Holdings Co., Ltd.
3/2007 Took office as Representative Director and Executive Officer of Yamato Holdings Co., Ltd.
4/2011 Took office as Representative Director, President and Executive Officer of Yamato Transport Co., Ltd.
4/2015 Took office as Chairman of the Board and Representative Director of Yamato Holdings Co., Ltd.
6/2016 Took office as Director of the Company (current)
4/2018 Took office as Director and Chairman of Yamato Holdings Co., Ltd.
6/2019 Special Advisor of Yamato Holdings Co., Ltd. (current)



Outside Director

7 Takeshi Kunibe

NEW

- ▶ Number of Shares of the Company Held: None
- ▶ Important Concurrent Positions Held in Other Organizations: Chairman of the Board of Sumitomo Mitsui Financial Group, Inc. Outside Member of the Board of TAISHO PHARMACEUTICAL HOLDINGS CO., LTD. Outside Auditor of Nankai Electric Railway Co., Ltd.
- ▶ Special Interests Between the Candidate and the Company: None

4/1976 Joined The Sumitomo Bank, Ltd. (currently Sumitomo Mitsui Banking Corporation, hereinafter the "SMBC")
6/2003 Took office as Executive Officer of SMBC
10/2006 Took office as Managing Executive Officer of Sumitomo Mitsui Financial Group, Inc. (hereinafter "SMFG")
6/2007 Took office as Director of SMFG
4/2009 Took office as Director and Senior Managing Executive Officer of SMBC
4/2011 Took office as Representative Director, President and Chief Executive Officer of SMBC
4/2017 Took office as Representative Director and President of SMFG
6/2017 Retired from Director of SMBC
6/2017 Took office as Director President and Representative Executive Officer of SMFG (current)
4/2019 Took office as Chairman of the Board of SMFG (current)
6/2020 Took office as Outside Director (current)



Outside Director

8 Arthur M. Mitchell

NEW

- ▶ Number of Shares of the Company Held: None
- ▶ Important Concurrent Positions Held in Other Organizations: Foreign lawyer of White & Case LLP. Outside Director of Sumitomo Mitsui Financial Group, Inc.
- ▶ Special Interests Between the Candidate and the Company: None

7/1976 Registered as attorney at law in New York State, USA (current)
1/2003 Took office as General Counsel of Asian Development Bank
9/2007 Joined White & Case LLP
1/2008 Registered as registered foreign lawyer in Japan (current)
6/2020 Took office as Outside Director (current)



Outside Audit & Supervisory Board Member

3 Hirohide Yamaguchi

- ▶ Number of Shares of the Company Held: 0 shares
- ▶ Important Concurrent Positions Held in Other Organizations: Chairman of the Advisory Board of Nikko Financial Intelligence, Inc. (currently Nikko Research Center, Inc.) Outside Audit & Supervisory Board Member of Mitsui Fudosan Residential Co., Ltd.
- ▶ Special Interests Between the Candidate and the Company: None

4/1974 Joined the Bank of Japan
10/2008 Took office as Deputy Governor of Bank of Japan
3/2013 Retired from Bank of Japan
7/2013 Took office as Chairman of the Advisory Board of Nikko Financial Intelligence, Inc. (currently Nikko Research Center, Inc.) (current)
6/2014 Took office as Audit & Supervisory Board Member of the Company (current)



Outside Audit & Supervisory Board Member

4 Eiko Shinotsuka

- ▶ Number of Shares of the Company Held: 0 shares
- ▶ Important Concurrent Positions Held in Other Organizations: Professor emeritus at Ochanomizu University
- ▶ Special Interests Between the Candidate and the Company: None

4/1993 Took office as Professor at Ochanomizu University
4/2008 Took office as Professor emeritus at Ochanomizu University (current)
6/2015 Took office as Audit & Supervisory Board Member of the Company (current)



Outside Audit & Supervisory Board Member

5 Kotaro Ohno

- ▶ Number of Shares of the Company Held: 0 shares
- ▶ Important Concurrent Positions Held in Other Organizations: Attorney at law, Special Counsel of Mori Hamada & Matsumoto Outside Director of AEDON Co., Ltd. Outside Audit & Supervisory Board Member of ITOCHU Corporation
- ▶ Special Interests Between the Candidate and the Company: None

4/1976 Appointed as Prosecutor
7/2009 Took office as Vice-Minister of Justice
7/2012 Took office as Superintending Prosecutor of Tokyo High Public Prosecutors Office
7/2014 Took office as Prosecutor-General of Supreme Public Prosecutors Office
9/2016 Retired from the position of Prosecutor-General of Supreme Public Prosecutors Office
11/2016 Attorney at law, Special Counsel of Mori Hamada & Matsumoto (current)
6/2017 Took office as Audit & Supervisory Board Member of the Company (current)

(As of June 30, 2020)



Komatsu's Independence Standards for Outside Directors and Outside Audit & Supervisory Board Members can be found via the link below.
<https://home.komatsu/en/ir/profile/corporate-governance/independence-criterion.html>

(As of June 30, 2020)

Executive Officers and Global Officers (As of June 30, 2020)

Executive Officers

Senior Executive Officer (Senmu)

Yuichi Iwamoto

Chief Technology Officer (CTO)
Supervising Research & Development and Environment

Senior Executive Officers (Jomu)

Susumu Ueno

President, Japanese Domestic Marketing,
Construction Equipment Marketing Division

Masaki Nobuhara

President, Production Division

Taiichiro Kitatani

Vice President, Construction Equipment
Marketing Division
GM, ICT Project Department GM, Project
Promotion Department

Naoki Fujita

Supervising Industrial Machinery Business
Chairman of the Board, Komatsu NTC Ltd.
(Part time)

Seiichi Fuchita

President, Development Division

Yoshiharu Sato

President, Quality Assurance Division

Kazuya Kuriyama

President, Procurement,
Production Division

Kazuaki Miura

Vice President, Japanese Domestic
Marketing, Construction Equipment
Marketing Division
President, Komatsu Customer Support
Japan Ltd.

Takeshi Horikoshi

Chief Financial Officer (CFO)

Koichi Honda

GM, Human Resources Department
Supervising Education

Executive Officers

Keiko Fujiwara

GM, Department for Promotion of
Distributor HR Development, Construction
Equipment Marketing Division
GM, Business Reform Department,
Construction Equipment Marketing Division

Chikashi Shike

President, Smart Construction
Promotion Division

Yasuo Suzuki

Vice President, Production Division
(Responsible for Parts & Reman)

Nozomu Okamoto

Awazu Plant Manager, Production Division

Masami Naruse

Vice President, Development Division
GM, Vehicle Development Center 1,
Development Division

Takuya Imayoshi

GM, Business Coordination Department
GM, Komatsu Economic Strategy
Research Center

Kosei Okamoto

President, Product Marketing Division

Kenichi Tanaka

President, Defense Systems Division

Shinji Maeda

President, Information Strategy Division

Norikatsu Nishiyama

Himi Plant Manager, Production Division

Hiroshi Makabe

Supervising Legal, General Affairs &
Compliance

Mitsuko Yokomoto

GM, General Affairs Department
Responsible for Risk Management

Hidefumi Obikane

Oyama Plant Manager, Production Division
GM, Aftermarket Technology Development
Department

Toru Sunada

President, Service Division, Construction
Equipment Marketing Division

Takayuki Furukoshi

Osaka Plant Manager, Production Division

Kenichi Sato

President, Aftermarket Business Division,
Construction Equipment Marketing Division
GM, Marketing Department, Aftermarket
Business Division, Construction Equipment
Marketing Division

Jun Taniguchi

Vice President, Development Division
GM, ICT System Development Center,
Development Division

Naoyuki Sakurai

Ibaraki Plant Manager, Production Division

Executive Officers (Global) Note: Executive officers overseas concurrently serve as global officers.

North America

Senior Executive Officers

Rodney Schrader

Chairman & CEO, Komatsu America Corp.

Jeffrey Dawes

President & CEO, Komatsu Mining Corp.
Vice President, Mining Business Division

Korekiyo Yanagisawa

EVP & COO, Komatsu Mining Corp.

Ichiro Nakano

Vice President, Mining Business Division
Technical Director, Modular Mining
Systems, Inc.

Executive Officers

Taisuke Kusaba

President & COO, Komatsu America Corp.

Gary Kasbeer

Executive Vice President & CFO, Komatsu
America Corp.

Yuushi Oshikawa

Executive Vice President & President, North
America R&D Division, Komatsu America Corp.
Technical Director, Komatsu Mining Corp.

Global Officers

North America

Peter Salditt

President, Underground and Hard Rock
Mining, Komatsu Mining Corp.

John Koetz

President, Surface Mining, Komatsu
Mining Corp.

Jorge Mascena

President & CEO, Modular Mining
Systems, Inc.

John Fiedler

President, Hensley Industries, Inc.

South America

Executive Officer

Yasuji Nishiura

Representative of All Latin America*
Operations
President, Komatsu Cummins Chile Ltda.
President, Komatsu Holding South America
Ltda.

* "Latin America" does not include Brazil

Europe

Senior Executive Officer

Masatoshi Morishita

President & CEO, Komatsu Europe
International N.V.

Executive Officer

Umeda Hiroyuki

President, Komatsu Forest AB

Asia/Oceania

Executive Officer

Pratjojo Dewo S.

President, PT Komatsu Indonesia

China

Senior Executive Officer

Yasuhiro Inagaki

Representative of All China Operations
Chairman, Komatsu (China) Ltd.

Executive Officers

Quanwang Zhang

President & CEO, Komatsu(China) Ltd.

Takashi Yasukawa

President, Production & Procurement Division,
Komatsu (China) Ltd.

Africa

Executive Officer

Michael Blom

President & MD, Komatsu South Africa
(Pty) Ltd.

Asia/Oceania

Charoen Ruengwilai

President, Bangkok Komatsu Co., Ltd.

Sean Taylor

Managing Director, Komatsu Australia Pty. Ltd.

China

Fangchang Liu

President, Komatsu (Shandong) Construction
Machinery Corp.

Dechun Tian

President, Komatsu Shantui Construction
Machinery Co., Ltd.